

13 Rec'd PCT/PTO 02 AUG 2001

09/857859

Attorney Docket: 7040-30

13 Rec'd PCT/PTO 23 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HEINEMANN, et al Examiner: Unknown
Serial No.: 09/857,859 Art Unit: Unknown
Filed: 11 June 2001 Date: 23 August 2001

For: BIPOLAR TRANSISTOR AND METHOD FOR PRODUCING SAME

*Commissioner of Patents and Trademarks
Washington, D. C. 20231*

TRANSMITTAL

Transmitted herewith:

- 1) Information Disclosure Statement
- 2) PTO-1449 Form
- 3) Acknowledgement of Receipt/Return Card

OLDHAM & OLDHAM CO., L.P.A.

By Stephen L. Grant
Stephen L. Grant
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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United State Postal Service as First Class mail on the date shown below and addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

23 Aug 2001
Date

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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.97

This Information Disclosure Statement is filed with the completion of filing requirements of this patent application and within three months of the filing date, so it is timely filed without payment of a fee, since no examination on the merits has occurred. 37 CFR §1.97(b).

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR §1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25.

This Information Disclosure Statement is made to comply with the duty of candor imposed on all individuals associated with the filing or prosecution of this application, as defined by 37 CFR §1.56(c).

Seven (7) U.S. patents and thirteen (13) foreign patent documents are listed on the attached two (2) sheets of Form PTO/SB/08A which is made a part hereof. Additionally, eight (8) non-patent documents are reported on one (1) sheet of Form

PTO/SB/08B, which is also made a part hereof. A copy of each is enclosed. Also enclosed as a part of this IDS, but not listed on the form, is a copy of a search report from the German Patent Office dated 8 October 1999 on application number 198 57 640.4, on which priority is based.

The references provided herein are cited either in the German Search Report or in the PCT Search Report conducted by the EPO.

The foregoing Information Disclosure Statement is based upon the information known to the inventor or contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to each of the undersigned at that date. Prompt consideration of the Information Disclosure Statement and the references by the Examiner is earnestly requested.

Respectfully submitted,



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